STATE OF COLORADO

COMMUNITY CORRECTIONS RISK FACTOR ANALYSIS

RFA-2 (Revised Model)

YEAR 8 RESULTS

September 2012

HB 02-1077

C.R.S. 17-27-108 (1)(B)

Department of Public Safety
James H. Davis – Executive Director

Division of Criminal Justice
Jeanne Smith – Director

Office of Community Corrections
Glenn A. Tapia – Director

Alexandra Walker, Project Manager
700 Kipling Street, Suite 1000
Lakewood, CO 80215

The Community Corrections Risk Factor Analysis is a project undertaken by the Division of Criminal Justice, Office of Community Corrections. Each staff member of the Office of Community Corrections made significant contributions to the analysis and provided input into its design. The contributing staff in the Office of Community Corrections are as follows:

Glenn A. Tapia
Program Director

Alexandra Walker
Interagency Criminal Justice Specialist

Mindy Miklos
Community Corrections Specialist

Christine Schmid
Community Corrections Auditor

Valarie Schamper
Community Corrections Auditor

Arlene Duran
Community Corrections Financial Officer

Laura Altobelli
Community Corrections Technician

Jim Pyle
Community Corrections Auditor

OFFICE OF COMMUNITY CORRECTIONS
700 Kipling Street, Suite 3000
Denver, CO 80215
(303) 239-4442
Fax: (303) 239-4411

The Division of Criminal Justice would also like to acknowledge the members of the following organizations for their participation and contribution to the Community Corrections Risk Factor Analysis:

The Governor's Community Corrections Advisory Council

The Colorado Department of Corrections

The Colorado Association of Community Corrections Boards

The Colorado Community Corrections Coalition

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INTRODUCTION AND OVERVIEW

Pursuant to state statute, the Division of Criminal Justice completed a baseline measurement of program risk factors in 2003. Subsequently, follow-up analyses were conducted in 2004, 2006, and 2007. After revisions to the Risk Factor Analysis model in 2008, analyses were conducted in 2009, 2010 and 2011. The current report is based on data from fiscal year 2012. The risk factor analysis, as revised, is a multi-dimensional review of program performance on 25 independent measures. For each program, a total risk factor score is calculated by adding up the scores from each of the 25 performance measures. Programs placed in the Level 1 category are either new programs (for which DCJ has no data) or those that have accrued more points than programs in the other categories. Therefore, the accumulation of risk factor points ultimately results in being placed into a lower performance level. This report summarizes the risk factor analysis scores for 33 community corrections programs. The overall results are indicated below and are explained in greater detail in the body of this report.

Community Corrections Program	Total Risk Factor Points Accrued (Percentage)	Performance Level
Intervention Community Corrections Services – West	(New Program)	Level 1
Crossroads Turning Point	44.4%	Level 2
Minnequa Community Corrections	33.0%	
Williams Street Center	23.0%	Level 3
CMI – Columbine	22.0%	
Longmont Community Treatment Center (CMI)	22.0%	
CMI- Ulster	22.0%	
Independence House - Fillmore	22.0%	
Phoenix Center*	20.0%	
San Luis Valley Community Corrections	19.0%	
Advantage Treatment Center – Sterling*	18.0%	
Correctional Alternative Placement Services	18.0%	
Independence House - Pecos	18.0%	
Tooley Hall	17.0%	
Intervention Community Corrections Services - Weld	16.7%	
CMI-Dahlia	15.0%	Level 4
Community Alternatives of El Paso County, Inc.	15.0%	
Larimer County Community Corrections	15.0%	
Pueblo Community Corrections Services, Inc.*	15.0%	
Centennial Corrections Transitions Center*	14.0%	
Time to Change - Commerce City	13.3%	
Garfield County Community Corrections	12.0%	
Arapahoe County Residential Center	11.0%	
Southwest Colorado Community Corrections Center (Hilltop House)	11.0%	
Arapahoe Community Treatment Center	10.0%	
Mesa County Community Corrections	10.0%	
COMCOR, Inc	9.0%	
Boulder Community Treatment Center (CMI)*	8.0%	
CMI – Fox	7.0%	
Peer I- The Haven	7.0%	
Time to Change	4.0%	
Intervention Community Corrections Services – Jefferson County	3.0%	
Peer I	3.0%	

* This program has a full or follow up audit report pending. See the Performance Factors section for more details.

Pursuant to C.R.S. 17-27-108 (1)(B), the Division of Criminal Justice has completed its seventh measurement of program risk factors. This project was undertaken in response to House Bill 02-1077, which stated, in part:

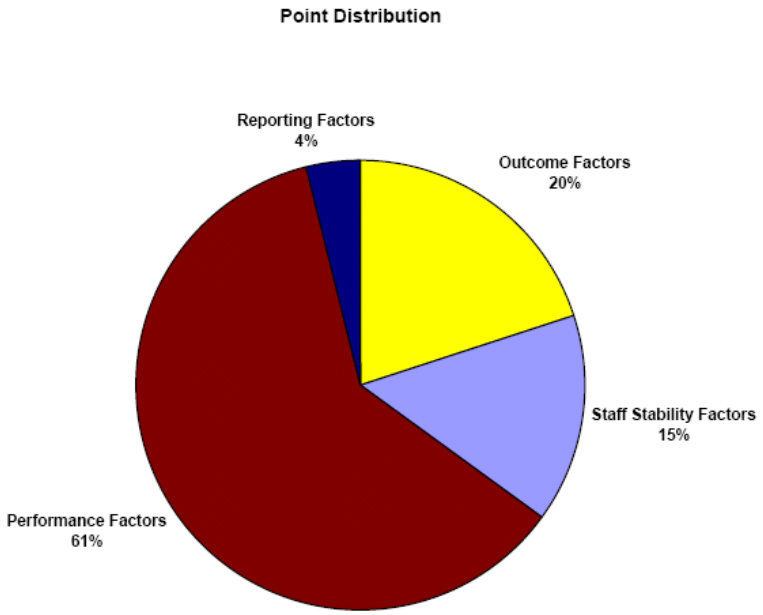
> *The Division of Criminal Justice shall implement a schedule for auditing community corrections programs that is based on risk factors such that community corrections programs with low risk factors shall be audited less frequently than community corrections programs with higher risk factors… The Division of Criminal Justice shall create classifications of community corrections programs that are based on risk factors as those factors are established by standards of the Division of Criminal Justice.*

Furthermore, in 2001, the Office of the State Auditor recommended that the Division of Criminal Justice should improve the performance of local community corrections programs by "incorporating measurable performance expectations and a systematic process for monitoring and enforcing compliance with those expectations."

The risk factor analysis is a multi-dimensional review of program performance on 25 independent performance measures. These performance measures fall into four categories of factors including: **Outcome, Performance, Staff Stability** and **Reporting**. This report summarizes the scores for each community corrections program by each of these categories.

For each program, the total risk factor score is calculated by adding up the scores from each of the 25 performance measures. As indicated previously, programs placed in the Level 1 category are either new programs or those that have accrued more points than programs in the Level 4 category. Therefore, the accumulation of risk factor points ultimately results in being placed into a lower level performance category. The distribution of the total risk factor score is broken down as follows:

Category	Number of Performance Measures	Percent of Total Score
Outcome Factors	2	20%
Performance Factors	18	61%
Staff Stability Factors	3	15%
Reporting Factors	2	4%
TOTAL (Overall)	**25**	**100%**



This report summarizes the risk factor analysis scores for 32 community corrections programs. Intervention Community Corrections Services – West is a new program and therefore does not have data available to report. Three (3) other programs were not included in the analysis. Independence House – South Federal has reorganized and accepts primarily Federal clients and supervises very few state clients. Gateway: Through the Rockies and Phase I are unique jail-based programs that operate on performance standards different than traditional community corrections programs. Both programs have waivers on numerous state standards, which minimize the data available to complete a risk factor analysis for these programs.

Table A reports each performance measure, the maximum points possible for each measure, and the statewide average score for each measure.

Table A

Category	Performance Measure	Statewide (All Programs) Maximum Points	Statewide (All Programs) Average
OUTCOME FACTORS	1. Escape Factor	10	1.8
	2. Recidivism Factor	10	1.0
PERFORMANCE FACTORS (Based on State Community Corrections Standards)	3. Background Check (2-040) – Exhibit A Audit	5	0.1
	4. Staff Annual Training (2-110)	5	0.6
	5. Case Manager Education (2-140)	4	0.0
	6. Monthly Staff Meetings (3-020)	2	0.2
	7. Self Audits of Program Operations (3-190)	5	0.6
	8. Offender Advisement (4-010)	2	0.0
	9. Medications (4-040)	2	0.1
	10. UA Compliance (Avg. of 4-100, 110, 120, 130)	4	0.1
	11. Random Off Site Monitoring (4-160)	6	1.5
	12. Job Search Accountability (4-161)	4	1.6
	13. Random Headcounts (4-200)	2	0.1
	14. Recording Authorized Absences (4-210)	2	0.2
	15. Weekly Meetings (6-070)	2	0.0
	16. Chronological/Progress Notes (6-080)	2	0.1
	17. Assessments (6-090)	2	0.2
	18. Supervision Plan (6-100)	4	1.3
	19. Structured Progress Feedback (6-110)	4	0.7
	20. Referrals to Qualified Treatment Providers (6-160)	4	0.7
STAFF STABILITY FACTORS	21. Security Staff	5	1.3
	22. Case Management Staff	5	1.3
	23. Program Administration	5	1.4
REPORTING FACTORS	24. CCIB Compliance – Entry Records	2	0.3
	25. CCIB Compliance – Termination Records	2	0.5

Outcome Factors

The Outcome Factor category consists of two performance measures that consider the rates of escape and recidivism within each program. The measures also consider the risk level of each program's offender population as measured by the average scores on the Level of Supervision Inventory (LSI). The use of this control measure is based on supported knowledge that higher risk offender populations have higher rates of recidivism and higher rates of escape. Programs with disproportionately high rates of escape and recidivism will accrue more risk factor points than programs with lower rates.

The Escape Factor measure is calculated by dividing each program's escape percentage from CY 2011 by the average LSI score of their offender population during that same year. The Recidivism Factor measure is calculated by dividing each program's 12-month recidivism percentage by the average LSI score of their offender population. Item scores in this category are waived when escape or recidivism data are not available from programs.

The recidivism data were derived from a research project undertaken by the DCJ. The Office of Research and Statistics recently completed a recidivism analysis for community corrections in Colorado. Specific recidivism data used in this report were derived from offender terminations between fiscal years 2005 through 2008. The DCJ defines recidivism as new misdemeanor or felony filings within 12 months of successful termination from residential supervision. Due to limitations regarding the availability of Denver County Court data, the data used for the analysis consider district court filings only. Therefore, county court filings are not considered in the recidivism figures used for the analysis.

The worksheet for the risk factor analysis shows the values and cut-offs used to assign points to the items in this category. The Outcome Factor section of the worksheet is included below as a frame of reference.

Outcome Factors: Data from Client Information Form and DCJ Recidivism Study			
1. Escape Factor: Esc. Rate / Avg. Pop Risk Score		**2. Recidivism Factor:** Rec Rate / Avg. Pop Risk Score	
⓪	Less than .35	⓪	Less than .45
②	Greater than/equal to .35 but less than .50	②	Greater than or equal to .45 but less than .55
④	Greater than/equal to .50 but less than .65	④	Greater than or equal to .55 but less than .65
⑥	Greater than/equal to .65 but less than .80	⑥	Greater than or equal to .65 but less than .70
⑧	Greater than/equal to .80 but less than .90	⑧	Greater than or equal to .70 but less than .75
⑩	Greater or equal to .90	⑩	Greater than or equal to .75

Table B reports the scores for each community corrections program in the Outcome Factor Category.

Table B

JD	PROGRAM NAME	Escape Factor	Recidivism Factor
13th	Advantage Treatment Center - Sterling	0	0
18th	Arapahoe Community Treatment Center	2	0
18th	Arapahoe County Residential Center	0	0
18th	Centennial Corrections Transitions Center	2	0
20th	Boulder Community Treatment Center (CMI)	2	2
2nd	CMI – Columbine	6	2
2nd	CMI-Dahlia	2	4
2nd	CMI – Fox	2	0
20th	Longmont Community Treatment Center (CMI)	2	0
2nd	CMI- Ulster	2	4
4th	COMCOR, Inc	2	0
4th	Community Alternatives of El Paso County, Inc.	4	0
14th	Correctional Alternative Placement Services	0	0
9th	Garfield County Community Corrections	0	2
2nd	Independence House - Fillmore	0	0
2nd	Independence House Pecos	0	2
1st	Intervention Community Corrections Services	0	0
8th	Larimer County Community Corrections	0	0
21st	Mesa County Community Corrections	0	0
10th	Minnequa Community Corrections	4	0
2nd	Peer I	0	0
2nd	Peer I- The Haven	4	0
17th	Phoenix Center	4	Waived
10th	Pueblo Community Corrections Services, Inc.	2	2
12th	San Luis Valley Community Corrections	4	4
6th	Southwest Colorado Community Corrections Center (HTH)	0	0
17th	Time to Change	0	2
2nd	Tooley Hall	4	0
19th	ICCS-Weld	0	Waived
2nd	Williams Street Center	4	4
17th	Time to Change - Commerce City	2	Waived
10th	Crossroads Turning Point	4	Waived

Program Performance Factors

The Performance Factor category consists of a series of performance measures used to address each program's level of compliance with certain standards within the Colorado Community Corrections Standards (C.C.C.S). Several critical standards have been selected by the Division of Criminal Justice to comprise a multi-dimensional analysis of program performance. These factors were also rated by community corrections subject matter experts as having a substantial impact on public safety, offender management, and offender treatment. Programs that perform below state standard on these measures will accrue more risk factor points than programs that meet or exceed these standards.

The data used for these performance measures were derived from the most recent DCJ audit of each program, including the last follow-up audit, if applicable. Full and follow up audit reports that were finalized after June 10th, 2011, will be considered in future risk factor analyses. Item scores are waived in cases where programs have an authorized waiver from DCJ for that particular standard.

The worksheet for the risk factor analysis shows the values and cut-offs used to assign points to the items in this category. The Program Performance section of the worksheet is included below as a frame of reference.

Performance Factors: Ratings from Most Recent Audit or Follow Up Audit	
3. Background Check (2-040) – Exhibit A Audit	**12. Job Search Accountability (4-161)**
⓪ Satisfactory/Very Satisfactory (>=85%)	⓪ Satisfactory/Very Satisfactory (>=85%)
③ Needs Improvement (70% to 84%)	② Needs Improvement (70% to 84%)
⑤ Unsatisfactory (69% or below)	④ Unsatisfactory (69% or below)
4. Staff Annual Training (2-110)	**13. Random Headcounts (4-200)**
⓪ Satisfactory/Very Satisfactory (>=85%)	⓪ Satisfactory/Very Satisfactory (>=85%)
③ Needs Improvement (70% to 84%)	① Needs Improvement (70% to 84%)
⑤ Unsatisfactory (69% or below)	② Unsatisfactory (69% or below)
5. Case Manager Education (2-140)	**14. Recording Authorized Absences (4-210)**
⓪ Satisfactory/Very Satisfactory (>=85%)	⓪ Satisfactory/Very Satisfactory (>=85%)
② Needs Improvement (70% to 84%)	① Needs Improvement (70% to 84%)
④ Unsatisfactory (69% or below)	② Unsatisfactory (69% or below)
6. Monthly Staff Meetings (3-020)	**15. Weekly Meetings (6-070)**
⓪ Satisfactory/Very Satisfactory (>=85%)	⓪ Satisfactory/Very Satisfactory (>=85%)
① Needs Improvement (70% to 84%)	① Needs Improvement (70% to 84%)
② Unsatisfactory (69% or below)	② Unsatisfactory (69% or below)
7.Self Audits of Program Operations (3-190)	**16. Chronological/Progress Notes (6-080)**
⓪ Satisfactory/Very Satisfactory (>=85%)	⓪ Satisfactory/Very Satisfactory (>=85%)
③ Needs Improvement (70% to 84%)	① Needs Improvement (70% to 84%)
⑤ Unsatisfactory (69% or below)	② Unsatisfactory (69% or below)
8. Offender Advisement (4-010)	**17. Assessments (6-090)**
⓪ Satisfactory/Very Satisfactory (>=85%)	⓪ Satisfactory/Very Satisfactory (>=85%)
① Needs Improvement (70% to 84%)	① Needs Improvement (70% to 84%)
② Unsatisfactory (69% or below)	② Unsatisfactory (69% or below)
9. Medications (4-040)	**18. Supervision Plan (6-100)**
⓪ Satisfactory/Very Satisfactory (>=85%)	⓪ Satisfactory/Very Satisfactory (>=85%)
① Needs Improvement (70% to 84%)	② Needs Improvement (70% to 84%)
② Unsatisfactory (69% or below)	④ Unsatisfactory (69% or below)
10. UA Compliance (Avg of 4-100, 110, 120, 130)	**19. Structured Progress Feedback (6-110)[2]**
⓪ Satisfactory/Very Satisfactory (>=85%)	⓪ Satisfactory/Very Satisfactory (>=85%)
② Needs Improvement (70% to 84%)	② Needs Improvement (70% to 84%)
④ Unsatisfactory (69% or below)	④ Unsatisfactory (69% or below)
11. Random Off Site Monitoring (4-160)	**20. Offender Treatment Monitoring (6-160)[3]**
⓪ Satisfactory/Very Satisfactory (>=85%)	⓪ Satisfactory/Very Satisfactory (>=85%)
③ Needs Improvement (70% to 84%)	② Needs Improvement (70% to 84%)
⑥ Unsatisfactory (69% or below)	④ Unsatisfactory (69% or below)

[2] Name of standard changed in 2009 with the revision of the CCCS
[3] Name of standard changed in 2009 with the revision of the CCCS

Tables C1 through C4 report the scores for each community corrections program in the Performance Factor Category. Table C1 reports the scores for each community corrections program for the following sections of the Colorado Community Corrections Standards (C.C.C.S):

C.C.C.S. 2-040 – Background Check
C.C.C.S. 2-110 – Staff Annual Training
C.C.C.S. 2-140 – Case Manager Education
C.C.C.S. 3-020 – Monthly Staff Meetings
C.C.C.S. 3-190 – Self-Audits of Program Operations

Table C2 reports the scores for each community corrections program for the following sections of the Colorado Community Corrections Standards (C.C.C.S):

C.C.C.S. 4-010 – Offender Advisement
C.C.C.S. 4-040 – Medications
C.C.C.S. 4-100, 4-110, 4-120, 4-130 – UA Compliance (AVG of 4-100, 110, 120, and 130)
C.C.C.S. 4-160 - Random Off-Site Monitoring
C.C.C.S. 4-161 – Job Search Accountability

Table C3 reports the scores for each community corrections program for the following sections of the Colorado Community Corrections Standards (C.C.C.S):

C.C.C.S. 4-200 – Random Headcounts
C.C.C.S. 4-210 – Recording Authorized Absences
C.C.C.S. 6-070 – Weekly Meetings
C.C.C.S. 6-080 – Chronological/Progress Notes
C.C.C.S. 6-090 – Assessments

Table C4 reports the scores for each community corrections program for the following sections of the Colorado Community Corrections Standards (C.C.C.S):

C.C.C.S. 6-100 – Supervision Plan
C.C.C.S. 6-110 – Review of Offender Progress
C.C.C.S. 6-160 – Referrals to Qualified Treatment Providers

Programs marked in tables C1 through C4 with an asterisk are those that had an audit with a pending report that was not published between the cutoff date of 6/10/2012 and the publication of this report. Therefore the performance measure scores listed below may not reflect that of the most recent DCJ audit findings. Scores in the performance measure section may be higher or lower, and in some cases significantly so, depending on DCJ audit findings. Consequently, a program may move from one performance level to another should their performance measure scores change significantly. In cases where program performance has either improved or declined substantially, the impact on the performance level can be significant.

Table C1

JD	PROGRAM NAME	Background Check	Staff Training	Case Mgr Education	Monthly Staff Meetings	Self Audits of Prog Operations
13th	Advantage Treatment Center – Sterling*	3	0	0	0	0
18th	Arapahoe Community Treatment Center	0	0	0	0	0
18th	Arapahoe County Residential Center	0	0	0	0	0
18th	Centennial Corrections Transitions Center*	0	0	0	1	0
20th	Boulder Community Treatment Center (CMI)*	0	0	0	0	0
2nd	CMI – Columbine	0	0	0	1	0
2nd	CMI-Dahlia	0	0	0	0	0
2nd	CMI – Fox*	0	0	0	0	0
20th	Longmont Community Treatment Center (CMI)	0	0	0	0	0
2nd	CMI- Ulster	0	0	0	0	0
4th	COMCOR, Inc	0	0	0	0	0
4th	Community Alternatives of El Paso County, Inc.	0	0	0	0	0
14th	Correctional Alternative Placement Services	0	5	0	0	0
9th	Garfield County Community Corrections	0	0	0	0	0
2nd	Independence House - Fillmore	0	0	0	0	3
2nd	Independence House Pecos	0	0	0	0	0
1st	Intervention Community Corrections Services	0	0	0	0	0
8th	Larimer County Community Corrections	0	0	0	0	3
21st	Mesa County Community Corrections	0	3	0	0	0
10th	Minnequa Community Corrections	0	0	0	2	3
2nd	Peer I	0	0	0	0	0
2nd	Peer I- The Haven	0	0	0	0	0
17th	Phoenix Center*	0	0	0	0	0
10th	Pueblo Community Corrections Services, Inc.*	0	0	0	0	0
12th	San Luis Valley Community Corrections	0	0	0	0	0
6th	Southwest Colorado Community Corrections Center (HTH)	0	5	0	0	0
17th	Time to Change	0	0	0	0	0
2nd	Tooley Hall	0	0	0	0	0
19th	ICCS-Weld	0	0	0	0	3
2nd	Williams Street Center	0	3	0	0	3
17th	Time to Change - Commerce City	0	0	0	0	0
10th	Crossroads Turning Point	0	3	0	1	3

Table C2

JD	PROGRAM NAME	Offender Advisement	Medications	UA Compliance	Random Off Site Monitoring	Job Search [4]
13th	Advantage Treatment Center – Sterling*	0	0	0	3	4
18th	Arapahoe Community Treatment Center	1	0	0	0	0
18th	Arapahoe County Residential Center	0	0	0	0	2
18th	Centennial Corrections Transitions Center*	0	0	0	0	2
20th	Boulder Community Treatment Center (CMI)*	0	0	0	0	0
2nd	CMI – Columbine	0	0	0	0	2
2nd	CMI-Dahlia	0	0	0	0	2
2nd	CMI – Fox*	0	0	0	0	0
20th	Longmont Community Treatment Center (CMI)	0	0	0	3	4
2nd	CMI- Ulster	0	0	0	3	2
4th	COMCOR, Inc	0	1	0	0	2
4th	Community Alternatives of El Paso County, Inc.	0	0	0	6	0
14th	Correctional Alternative Placement Services	0	0	0	0	2
9th	Garfield County Community Corrections	0	0	0	3	2
2nd	Independence House - Fillmore	0	0	0	3	4
2nd	Independence House Pecos	0	0	0	3	2
1st	Intervention Community Corrections Services	0	0	0	0	0
8th	Larimer County Community Corrections	0	0	0	3	2
21st	Mesa County Community Corrections	0	0	0	0	2
10th	Minnequa Community Corrections	0	1	0	3	2
2nd	Peer I	0	0	0	0	0
2nd	Peer I- The Haven	0	0	0	0	0
17th	Phoenix Center*	0	1	0	0	2
10th	Pueblo Community Corrections Services, Inc.*	0	0	0	0	4
12th	San Luis Valley Community Corrections	0	0	0	0	0
6th	Southwest Colorado Community Corrections Center (HTH)	0	0	0	3	0
17th	Time to Change	0	0	0	0	0
2nd	Tooley Hall	0	0	0	0	2
19th	ICCS-Weld	0	0	0	3	0
2nd	Williams Street Center	0	0	0	3	2
17th	Time to Change - Commerce City	0	0	0	3	0
10th	Crossroads Turning Point	0	0	4	6	4

1 CCCS 4-161 (Job Search Accountability) is a newer state standard. Accordingly, DCJ has data only on programs that have been audited since inception of the standard.

Table C3

JD	PROGRAM NAME	Random Headcounts	Recording Auth Absences	Weekly Meetings	Chron/Progress Notes	Assessments
13th	Advantage Treatment Center – Sterling*	0	0	0	0	0
18th	Arapahoe Community Treatment Center	0	0	0	0	2
18th	Arapahoe County Residential Center	0	0	0	0	0
18th	Centennial Corrections Transitions Center*	0	0	0	0	0
20th	Boulder Community Treatment Center (CMI)*	0	0	0	0	0
2nd	CMI – Columbine	0	0	0	0	0
2nd	CMI-Dahlia	0	0	0	0	0
2nd	CMI – Fox*	0	0	0	0	0
20th	Longmont Community Treatment Center (CMI)	0	0	0	0	0
2nd	CMI- Ulster	0	0	0	0	0
4th	COMCOR, Inc	0	0	0	0	0
4th	Community Alternatives of El Paso County, Inc.	0	0	0	0	0
14th	Correctional Alternative Placement Services	0	0	0	0	1
9th	Garfield County Community Corrections	0	1	0	0	0
2nd	Independence House - Fillmore	0	0	0	1	0
2nd	Independence House Pecos	1	0	0	0	0
1st	Intervention Community Corrections Services	0	0	0	0	0
8th	Larimer County Community Corrections	0	1	0	0	0
21st	Mesa County Community Corrections	1	0	0	0	0
10th	Minnequa Community Corrections	1	1	0	1	2
2nd	Peer I	0	1	0	0	0
2nd	Peer I- The Haven	0	0	0	0	0
17th	Phoenix Center*	0	0	0	1	0
10th	Pueblo Community Corrections Services, Inc.*	0	0	0	0	0
12th	San Luis Valley Community Corrections	0	0	0	0	0
6th	Southwest Colorado Community Corrections Center (HTH)	0	0	0	0	0
17th	Time to Change	0	0	0	0	0
2nd	Tooley Hall	0	0	0	0	0
19th	ICCS-Weld	1	0	0	0	0
2nd	Williams Street Center	0	0	0	0	0
17th	Time to Change - Commerce City	0	0	0	0	0
10th	Crossroads Turning Point	0	1	0	0	0

Table C4

JD	PROGRAM NAME	Supervision Plan	Structured Progress Feedback	Referrals to Qualified Treatment Providers
13th	Advantage Treatment Center – Sterling*	2	0	2
18th	Arapahoe Community Treatment Center	2	0	0
18th	Arapahoe County Residential Center	0	0	0
18th	Centennial Corrections Transitions Center*	2	2	0
20th	Boulder Community Treatment Center (CMI)*	0	0	2
2nd	CMI – Columbine	2	2	0
2nd	CMI-Dahlia	0	2	0
2nd	CMI – Fox*	2	0	0
20th	Longmont Community Treatment Center (CMI)	2	0	0
2nd	CMI- Ulster	2	2	0
4th	COMCOR, Inc	2	0	2
4th	Community Alternatives of El Paso County, Inc.	0	0	0
14th	Correctional Alternative Placement Services	2	2	2
9th	Garfield County Community Corrections	2	0	0
2nd	Independence House - Fillmore	2	0	4
2nd	Independence House Pecos	4	0	0
1st	Intervention Community Corrections Services	0	0	0
8th	Larimer County Community Corrections	2	2	2
21st	Mesa County Community Corrections	2	2	0
10th	Minnequa Community Corrections	2	2	2
2nd	Peer I	0	0	0
2nd	Peer I- The Haven	0	0	0
17th	Phoenix Center*	0	4	0
10th	Pueblo Community Corrections Services, Inc.*	0	0	2
12th	San Luis Valley Community Corrections	2	0	2
6th	Southwest Colorado Community Corrections Center (HTH)	2	0	0
17th	Time to Change	0	0	0
2nd	Tooley Hall	0	0	0
19th	ICCS-Weld	2	0	0
2nd	Williams Street Center	0	0	2
17th	Time to Change - Commerce City	2	0	0
10th	Crossroads Turning Point	2	2	0

Staff Stability Factors

Staff retention and turnover rates have been identified as problem areas in community corrections programs (Gonzales-Woodburn, Suzanne and English, Kim. 2002). High turnover and lower staff retention rates may undermine effective correctional programming. This category of the risk factor analysis consists of three performance measures that include data regarding the average length of employment for essential staff positions in each community corrections program. Programs with disproportionately short lengths of staff employment will accrue more risk factor points than programs with longer lengths of employment in these positions. The data used for these performance measures were derived from the 2012 'Exhibit A' staff rosters.

The worksheet for the risk factor analysis shows the values and cut-offs used to assign points to the items in this category. The Staff Stability section of the worksheet is included below as a frame of reference.

Staff Stability Factors: Average Length of Employment					
21. Stability Factor A: Security		**22. Stability Factor B:** Case Mgt		**23. Stability Factor C:** Prg. Admin	
⓪	Greater than 30 mos	⓪	Greater than 48 mos	⓪	Greater than 100 mos
①	22 to 30 months	①	38 to 48 months	①	80 to 100 months
②	17 to 22 months	②	28 to 38 months	②	60 to 80 months
③	12 to 17 months	③	18 to 28 months	③	40 to 60 months
④	7 to 12 months	④	8 to 18 months	④	20 to 40 months
⑤	0 to 7 months	⑤	0 to 10 months	⑤	0 to 20 months
Mitigating Factors					
For programs that expand more than 33% of original bed capacity [2 Year Eligibility Only]					
-②	Program Expansion Deduction	-②	Program Expansion Deduction		
For New Programs [2 Year Eligibility Only]					
☒	Waiver of Program Stability Points	☒	Waiver of Program Stability Points	☒	Waiver of Program Stability Points

Table D reports the scores for each community corrections program in the Staff Stability Factor Category.

Table D

JD	PROGRAM NAME	Security	Case Mgt	Program Admin
13th	Advantage Treatment Center - Sterling	1	1	1
18th	Arapahoe Community Treatment Center	0	3	0
18th	Arapahoe County Residential Center	3	4	0
18th	Centennial Corrections Transitions Center	1	0	4
20th	Boulder Community Treatment Center (CMI)	1	1	0
2nd	CMI – Columbine	2	0	5
2nd	CMI-Dahlia	2	3	0
2nd	CMI – Fox	0	1	1
20th	Longmont Community Treatment Center (CMI)	3	3	5
2nd	CMI- Ulster	2	3	2
4th	COMCOR, Inc	0	0	0
4th	Community Alternatives of El Paso County, Inc.	0	1	2
14th	Correctional Alternative Placement Services	4	0	0
9th	Garfield County Community Corrections	0	0	2
2nd	Independence House - Fillmore	1	3	1
2nd	Independence House Pecos	3	0	3
1st	Intervention Community Corrections Services	1	2	0
8th	Larimer County Community Corrections	0	0	0
21st	Mesa County Community Corrections	0	0	0
10th	Minnequa Community Corrections	0	0	5
2nd	Peer I	0	0	0
2nd	Peer I- The Haven	0	0	0
17th	Phoenix Center	2	3	1
10th	Pueblo Community Corrections Services, Inc.	2	1	0
12th	San Luis Valley Community Corrections	0	0	5
6th	Southwest Colorado Community Corrections Center (HTH)	0	0	0
17th	Time to Change	0	2	0
2nd	Tooley Hall	5	0	2
19th	ICCS-Weld	1	2	3
2nd	Williams Street Center	0	1	1
17th	Time to Change - Commerce City	3	2	0
10th	Crossroads Turning Point	4	4	1

Reporting Factors

The Reporting Factor category consists of two performance measures used to address each program's level of compliance with reporting data into the Community Corrections Information and Billing (CCIB) system. Programs that do not meet the requirements on these measures will accrue more risk factor points than programs that meet or exceed the requirements. The data used for these performance measures reflect CCIB data from FY12.

The worksheet for the risk factor analysis shows the values and cut-offs used to assign points to the items in this category. The Reporting Factor section of the worksheet is included below as a frame of reference. Table E reports the scores for each community corrections program in the Reporting Factors Category.

Reporting Factors	
24. CCIB Compliance – Entry	**25. CCIB Compliance - Termination**
⓪ Satisfactory/Very Satisfactory (>=85%)	⓪ Satisfactory/Very Satisfactory (>=85%)
① Needs Improvement (70% to 84%)	① Needs Improvement (70% to 84%)
② Unsatisfactory (69% or below)	② Unsatisfactory (69% or below)

Table E

JD	PROGRAM NAME	CCIB Compliance - Entry	CCIB Compliance - Termination
13th	Advantage Treatment Center - Sterling	0	1
18th	Arapahoe Community Treatment Center	0	0
18th	Arapahoe County Residential Center	2	0
18th	Centennial Corrections Transitions Center	0	0
20th	Boulder Community Treatment Center (CMI)	0	0
2nd	CMI – Columbine	0	0
2nd	CMI-Dahlia	0	0
2nd	CMI – Fox	1	0
20th	Longmont Community Treatment Center (CMI)	0	0
2nd	CMI- Ulster	0	0
4th	COMCOR, Inc	0	0
4th	Community Alternatives of El Paso County, Inc.	0	2
14th	Correctional Alternative Placement Services	0	0
9th	Garfield County Community Corrections	0	0
2nd	Independence House - Fillmore	0	0
2nd	Independence House Pecos	0	0
1st	Intervention Community Corrections Services	0	Waived
8th	Larimer County Community Corrections	0	0
21st	Mesa County Community Corrections	0	0
10th	Minnequa Community Corrections	0	2
2nd	Peer I	1	1
2nd	Peer I- The Haven	1	2
17th	Phoenix Center	0	0
10th	Pueblo Community Corrections Services, Inc.	1	1
12th	San Luis Valley Community Corrections	0	2
6th	Southwest Colorado Community Corrections Center (HTH)	0	1
17th	Time to Change	0	0
2nd	Tooley Hall	2	2
19th	ICCS-Weld	0	0
2nd	Williams Street Center	0	0
17th	Time to Change - Commerce City	0	0
10th	Crossroads Turning Point	0	1

Total Risk Factor Score

A program's Total Risk Factor Score is calculated by adding the individual scores from each performance measure. It does not consider points waived by DCJ. Scores are then converted to a percentage scale of 0% to 100% based on the number of points accrued divided by the maximum number of points possible. Programs were then ranked according to the percentage of possible points accrued and were subsequently placed into one of four (4) performance categories. These categories are explained below:

Levels 1 and 2 - Programs that scored more than 50% (Percentage Score) are placed into the Level 1 category. The Level 1 category is also used for new programs for which DCJ has no performance data. Programs that scored between 30% and 50% (Percentage Score) were placed into the Level 2 category. Generally, programs in these two categories will be audited at intervals not to exceed three years.

Effective July 1, 2011, local community corrections boards will not disburse DCJ community corrections funds for services rendered by any community corrections program or provider at any location that has been designated as a Level 1 program or provider pursuant to the two most recently revised Risk Factor Analysis publications.

The exceptions are:

- New programs, defined as a program or provider that has been operating at its current location for less than 24 months, or
- A program or provider that has not had at least one full performance audit or at least one follow-up performance audit within the 12 months preceding the publication of the most recently revised Risk Factor Analysis, or
- The local board has received written consent from DCJ to continue to disburse funds to the Level 1 program or provider.

Levels 3 and 4 - Programs that scored between 15% and 30% (Percentage Score) were placed into the Level 3 category. Programs that scored 15% (Percentage Score) or less were placed into the Level 4 category. Generally, programs in these two categories will be audited at intervals not to exceed five years.

The worksheet for the risk factor analysis shows the values and cut-offs used to assign categories to the programs based on their percentage score. The final scoring section of the worksheet is included below as a frame of reference.

Program Scores	
Total Score	
Waived/Deducted Points	
Maximum Score (100 – Waived Pts)	
Final Score (Total Score/Max Score)	
Performance Level	

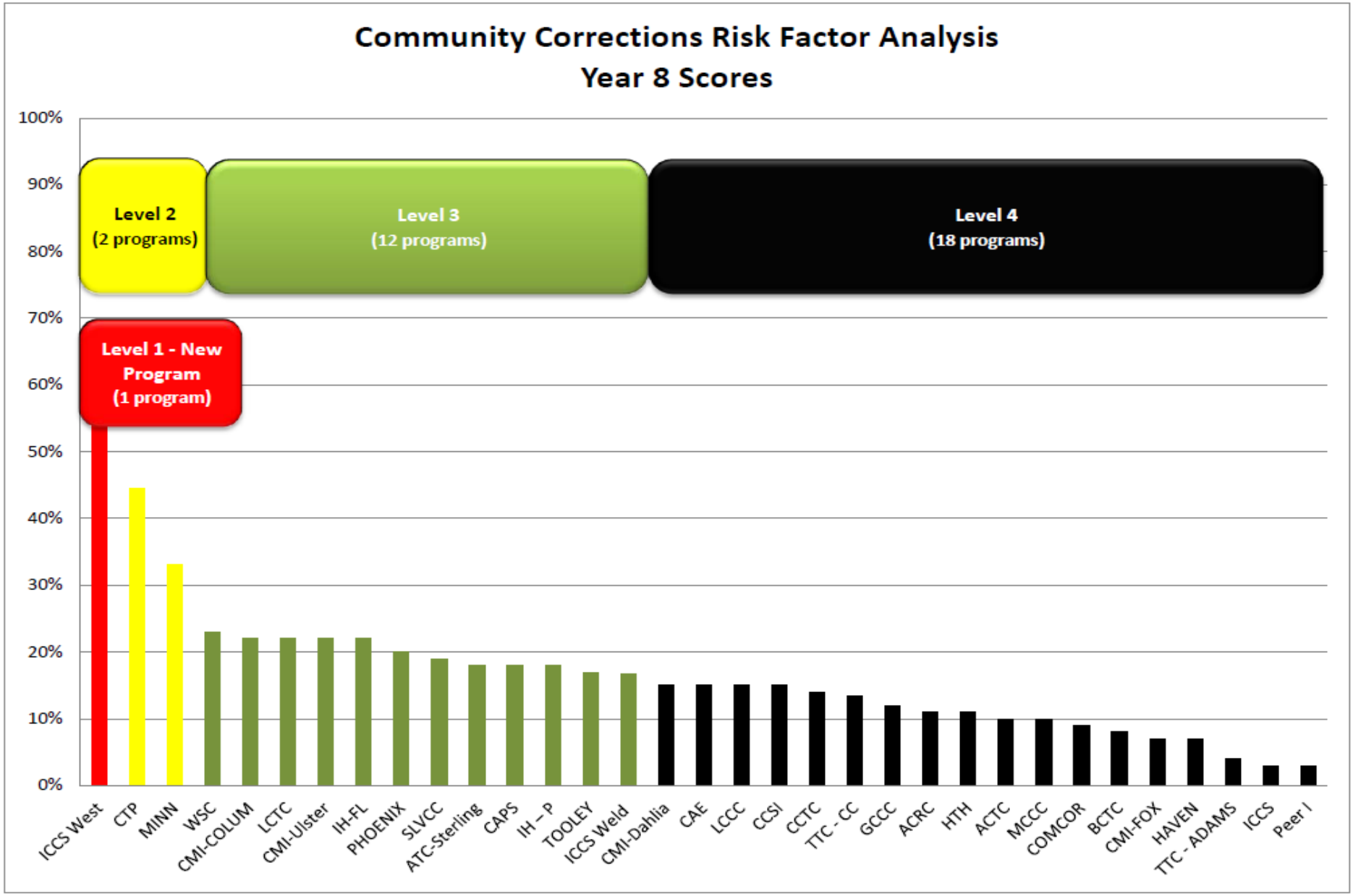
Percentage Score Range	Performance Level	Audit Cycle
0% - 15%	Level 4 Program	5-year
15% - 30%	Level 3 Program	
30% - 50%	Level 2 Program	3-year
50% to 100% or New Program	Level 1 Program	

Table F reports the overall scores for each Community Corrections program and their respective risk factor rating. This is also reported graphically in Figure F.

Table F

Community Corrections Program	Total Risk Factor Points Accrued (Percentage)	Performance Level
Intervention Community Corrections Services – West	(New Program)	Level 1
Crossroads Turning Point	44.4%	Level 2
Minnequa Community Corrections	33.0%	
Williams Street Center	23.0%	Level 3
CMI – Columbine	22.0%	
Longmont Community Treatment Center (CMI)	22.0%	
CMI- Ulster	22.0%	
Independence House - Fillmore	22.0%	
Phoenix Center	20.0%	
San Luis Valley Community Corrections	19.0%	
Advantage Treatment Center - Sterling	18.0%	
Correctional Alternative Placement Services	18.0%	
Independence House Pecos	18.0%	
Tooley Hall	17.0%	
Intervention Community Corrections Services - Weld	16.7%	
CMI-Dahlia	15.0%	Level 4
Community Alternatives of El Paso County, Inc.	15.0%	
Larimer County Community Corrections	15.0%	
Pueblo Community Corrections Services, Inc.	15.0%	
Centennial Corrections Transitions Center	14.0%	
Time to Change - Commerce City	13.3%	
Garfield County Community Corrections	12.0%	
Arapahoe County Residential Center	11.0%	
Southwest Colorado Community Corrections Center (Hilltop House)	11.0%	
Arapahoe Community Treatment Center	10.0%	
Mesa County Community Corrections	10.0%	
COMCOR, Inc	9.0%	
Boulder Community Treatment Center (CMI)	8.0%	
CMI – Fox	7.0%	
Peer I- The Haven	7.0%	
Time to Change	4.0%	
Intervention Community Corrections Services – Jefferson County	3.0%	
Peer I	3.0%	

Figure F


Community Corrections Risk Factor Analysis
Year 8 Scores
100%
90%
80%
70%
60%
50%
40%
30%
20%
10%
0%
Level 2
(2 programs)
Level 3
(12 programs)
Level 4
(18 programs)
Level 1 - New
Program
(1 program)
ICCS West
CTP
MINN
WSC
CMI-COLUM
LCTC
CMI-Ulster
IH-FL
PHOENIX
SLVCC
ATC-Sterling
CAPS
IH – P
TOOLEY
ICCS Weld
CMI-Dahlia
CAE
LCCC
CCSI
CCTC
TTC - CC
GCCC
ACRC
HTH
ACTC
MCCC
COMCOR
BCTC
CMI-FOX
HAVEN
TTC - ADAMS
ICCS
Peer I

Observations Regarding Eighth-Year Analysis

The performance measures used in the Community Corrections Risk Factor analyses are dynamic measures that are sensitive to change in program performance and program characteristics. The performance factors, in particular, may change with new audit scores or follow-up audit scores. Reductions in overall scores demonstrate an improvement in performance over time. Although reductions in overall risk factor scores may be impacted by factors in the other three categories, the Performance Factor category comprises nearly two-thirds of the overall risk factor score and has a strong impact on the overall rating.

Statewide Performance

In the eighth year of the risk factor analysis, 12 of the 32 programs had either a full or follow up audit report completed which can impact their overall risk factor score and performance level ratings. Improving compliance with state standards results in a decrease in the overall risk factor scores for a particular program. Compared to Year 7, the DCJ also has new data for the Escape Factor, Staff Stability Factors, and Reporting Factors. Changes in these areas will also result in changes to program scores and ratings.

Table G shows the lowest, average, and highest statewide scores between the Year 5 baseline report, the Year 6 and 7 analyses, and the current Year 8 analysis. Although program performance is seemingly improving in places throughout the system, there still exists a wide gap between the highest performing and lowest performing programs. Despite this, the distance between the highest and lowest scores of the Risk Factor Analysis has decreased for the first time since the Year 5 baseline. It would be advantageous to have more standardization or consistency in program performance statewide.

Table G

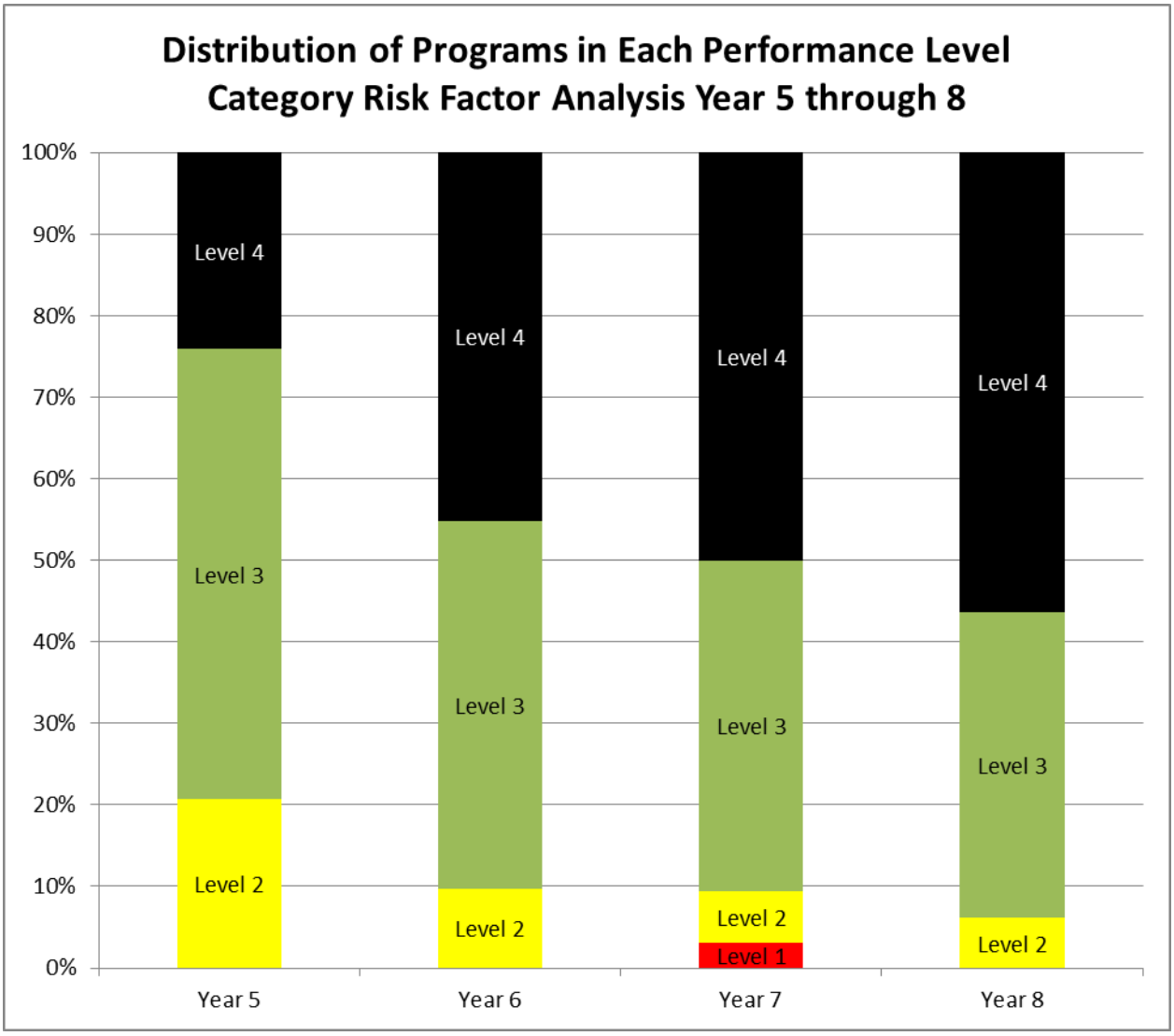
Statewide	Year 5 Baseline	Year 6	Year 7	Year 8
Lowest Score	5.2%	2.0%	3.0%	3.0%
Average Score	20.5%	18.1%	18.1%	15.5%
Highest Score	39.6%	43.0%	53.3%	44.4%
GAP (Lowest to Highest)	34.4 Percentage Points	41.0 Percentage Points	50.3 Percentage Points	41.4 Percentage Points

Table H shows the percentage of programs, by year, placed into each performance level category as a result of the Risk Factor Analysis. This is displayed graphically in Figure H.

Table H

Color Code (Figure H)	Category	Year 5	Year 6	Year 7	Year 8
	Percent of Programs in Level 4 Category	24.1%	45.2%	50.0%	56.3%
	Percent of Programs in Level 3 Category	55.2%	45.2%	40.6%	37.5%
	Percent of Programs in Level 2 Category	20.7%	9.7%	6.3%	6.3%
	Percent of Programs in Level 1 Category (due to high scores in the Risk Factor Analysis)	0%	0%	3.1%	0.0%
	Number of New Programs (Level 1) (not scored due to being a new program)	4	1	0	1

Figure H



Despite the gap which exists between the highest and lowest performing programs, it is noteworthy that since the Year 5 Baseline Analysis there has been an <u>increase</u> in the number of programs that fall in higher performing categories.

Individual Program Performance

Table I shows each program's scores over the course of the last 2 years of Risk Factor Analysis. It also shows the risk factor category in which each program was placed for both years. A decrease in score from Year 7 to Year 8 (indicated in shaded and **bold** print) indicates an overall improvement in performance. More than 50% of programs (17 programs overall) decreased their score from Year 7, with another 3 programs maintaining their total score and remaining in level 4. In some cases (6 programs overall), the improvements resulted in being placed into a higher performance level category.

Table I

PROGRAM NAME	Score Yr 7	Performance Level	Score Yr 8	Performance Level	% Change Yr 7 to Yr 8
CTP	**53.30%**	**Level 1**	**44.40%**	**Level 2**	**-8.90%**
MINN	**34.00%**	**Level 2**	**33.00%**	**Level 2**	**-1.00%**
WSC	**28.00%**	**Level 3**	**23.00%**	**Level 3**	**-5.00%**
CMI-Ulster	**30.00%**	**Level 3**	**22.00%**	**Level 3**	**-8.00%**
IH-FL	**29.00%**	**Level 3**	**22.00%**	**Level 3**	**-7.00%**
CMI-COLUM	19.80%	Level 3	22.00%	Level 3	2.20%
LCTC	12.00%	Level 4	22.00%	Level 3	10.00%
PHOENIX CTR	17.80%	Level 3	20.00%	Level 3	2.20%
SLVCC	17.00%	Level 3	19.00%	Level 3	2.00%
IH – P	**36.00%**	**Level 2**	**18.00%**	**Level 3**	**-18.00%**
ATC-Sterling	**22.00%**	**Level 3**	**18.00%**	**Level 3**	**-4.00%**
CAPS	17.00%	Level 3	18.00%	Level 3	1.00%
TOOLEY	15.00%	Level 4	17.00%	Level 3	2.00%
ICCS-Weld	**27.80%**	**Level 3**	**16.70%**	**Level 3**	**-11.10%**
LCCC	**23.00%**	**Level 3**	**15.00%**	**Level 4**	**-8.00%**
CCSI	**22.00%**	**Level 3**	**15.00%**	**Level 4**	**-7.00%**
CMI-Dahlia	14.60%	Level 4	15.00%	Level 4	0.40%
CAE	14.00%	Level 4	15.00%	Level 4	1.00%
CCTC	14.00%	Level 4	14.00%	Level 4	0.00%
TTC - CC	13.00%	Level 4	13.30%	Level 4	0.30%
GCCC	**25.00%**	**Level 3**	**12.00%**	**Level 4**	**-13.00%**
HTH	**16.00%**	**Level 3**	**11.00%**	**Level 4**	**-5.00%**
ACRC	**12.00%**	**Level 4**	**11.00%**	**Level 4**	**-1.00%**
ACTC	9.00%	Level 4	10.00%	Level 4	1.00%
MCCC	3.00%	Level 4	10.00%	Level 4	7.00%
COMCOR, Inc	9.00%	Level 4	9.00%	Level 4	0.00%
BCTC	8.00%	Level 4	8.00%	Level 4	0.00%
CMI-FOX	**12.00%**	**Level 4**	**7.00%**	**Level 4**	**-5.00%**
HAVEN	6.00%	Level 4	7.00%	Level 4	1.00%
TTC - Adams	**7.00%**	**Level 4**	**4.00%**	**Level 4**	**-3.00%**
ICCS-JeffCo	**10.00%**	**Level 4**	**3.00%**	**Level 4**	**-7.00%**
Peer I	**4.00%**	**Level 4**	**3.00%**	**Level 4**	**-1.00%**

Table J shows each program's scores since the baseline Risk Factor Analysis in Year 5. It also shows the risk factor category in which each program was placed for the past four years. A decrease in score from Year 5 to Year 8 (indicated in shaded and **bold** print) indicates an overall improvement in performance over the four years. A total of 20 programs decreased their score from their baseline score in Year 5. For 13 programs the improvements resulted in being placed into a higher performance level category.

Table J

PROGRAM NAME	Score Yr 5	Performance Level	Score Yr 6	Performance Level	Score Yr 7	Performance Level	Score Yr 8	Performance Level	% Change Yr 5 to Yr 8
CTP	N/A	N/A	*New Program*	Level 1	53.30%	Level 1	44.40%	Level 2	N/A
MINN	19.60%	Level 3	14.60%	Level 4	34.00%	Level 2	33.00%	Level 2	13.40%
WSC	22.80%	Level 3	28.00%	Level 3	28.00%	Level 3	23.00%	Level 3	0.20%
CMI-Ulster	**22.80%**	**Level 3**	**29.00%**	**Level 3**	**30.00%**	**Level 3**	**22.00%**	**Level 3**	**-0.80%**
IH-FL	18.50%	Level 3	24.00%	Level 3	29.00%	Level 3	22.00%	Level 3	3.50%
CMI-COLUM	**22.80%**	**Level 3**	**15.60%**	**Level 3**	**19.80%**	**Level 3**	**22.00%**	**Level 3**	**-0.80%**
LCTC	**39.60%**	**Level 2**	**14.00%**	**Level 4**	**12.00%**	**Level 4**	**22.00%**	**Level 3**	**-17.60%**
PHOENIX CTR	*New Program*	Level 1	32.20%	Level 2	17.80%	Level 3	20.00%	Level 3	N/A
SLVCC	**31.50%**	**Level 2**	**20.00%**	**Level 3**	**17.00%**	**Level 3**	**19.00%**	**Level 3**	**-12.50%**
IH – P	**39.10%**	**Level 2**	**43.00%**	**Level 2**	**36.00%**	**Level 2**	**18.00%**	**Level 3**	**-21.10%**
ATC-Sterling	**22.20%**	**Level 3**	**20.00%**	**Level 3**	**22.00%**	**Level 3**	**18.00%**	**Level 3**	**-4.20%**
CAPS	**20.80%**	**Level 3**	**19.00%**	**Level 3**	**17.00%**	**Level 3**	**18.00%**	**Level 3**	**-2.80%**
TOOLEY	16.70%	Level 3	12.00%	Level 4	15.00%	Level 4	17.00%	Level 3	0.30%
ICCS-Weld	*New Program*	Level 1	36.70%	Level 2	27.80%	Level 3	16.70%	Level 3	N/A
LCCC	5.40%	Level 4	27.00%	Level 3	23.00%	Level 3	15.00%	Level 4	9.60%
CCSI	**35.40%**	**Level 2**	**18.00%**	**Level 3**	**22.00%**	**Level 3**	**15.00%**	**Level 4**	**-20.40%**
CMI-Dahlia	**23.90%**	**Level 3**	**27.10%**	**Level 3**	**14.60%**	**Level 4**	**15.00%**	**Level 4**	**-8.90%**
CAE	**35.40%**	**Level 2**	**14.00%**	**Level 4**	**14.00%**	**Level 4**	**15.00%**	**Level 4**	**-20.40%**
CCTC	**22.90%**	**Level 3**	**13.00%**	**Level 4**	**14.00%**	**Level 4**	**14.00%**	**Level 4**	**-8.90%**
TTC - CC	*New Program*	Level 1	12.20%	Level 4	13.00%	Level 4	13.30%	Level 4	N/A
GCCC	**19.50%**	**Level 3**	**16.70%**	**Level 3**	**25.00%**	**Level 3**	**12.00%**	**Level 4**	**-7.50%**
HTH	10.00%	Level 4	19.00%	Level 3	16.00%	Level 3	11.00%	Level 4	1.00%
ACRC	**14.40%**	**Level 4**	**18.00%**	**Level 3**	**12.00%**	**Level 4**	**11.00%**	**Level 4**	**-3.40%**
ACTC	**24.00%**	**Level 3**	**8.00%**	**Level 4**	**9.00%**	**Level 4**	**10.00%**	**Level 4**	**-14.00%**
MCCC	5.20%	Level 4	3.00%	Level 4	3.00%	Level 4	10.00%	Level 4	4.80%
COMCOR, Inc	6.70%	Level 4	14.00%	Level 4	9.00%	Level 4	9.00%	Level 4	2.30%
BCTC	**26.00%**	**Level 3**	**16.00%**	**Level 3**	**8.00%**	**Level 4**	**8.00%**	**Level 4**	**-18.00%**
CMI-FOX	**17.70%**	**Level 3**	**14.00%**	**Level 4**	**12.00%**	**Level 4**	**7.00%**	**Level 4**	**-10.70%**
HAVEN	**8.30%**	**Level 4**	**6.00%**	**Level 4**	**6.00%**	**Level 4**	**7.00%**	**Level 4**	**-1.30%**
ICCS-JeffCo	**12.00%**	**Level 4**	**11.00%**	**Level 4**	**10.00%**	**Level 4**	**3.00%**	**Level 4**	**-9.00%**
TTC - Adams	**15.20%**	**Level 3**	**15.00%**	**Level 4**	**7.00%**	**Level 4**	**4.00%**	**Level 4**	**-11.20%**
Peer I	**16.70%**	**Level 3**	**2.00%**	**Level 4**	**4.00%**	**Level 4**	**3.00%**	**Level 4**	**-13.70%**

Limitations of the Risk Factor Analysis

The statutory intent of the Risk Factor Analysis is to examine factors that could adversely affect elements of public safety and offender management. The analysis is not designed to acknowledge – nor does its scoring system reward – the superior work done by many programs in specific areas of treatment or offender reintegration.

Some programs have had an audit and have a pending full or follow-up report that was not published between the cutoff date of 6/10/2012 and the publication of this report. Therefore the performance measure scores listed in the Year 8 Risk Factor Analysis may not reflect that of the most recent DCJ audit findings. Scores in the performance measure section may be higher or lower, and in some cases significantly so, depending on DCJ audit findings. Consequently, a program may move from one level to another should their performance measure scores change. In cases where program performance has either improved or declined substantially, the impact on the performance level can be significant.

The DCJ strongly recommends that the Risk Factor Analysis scores principally be used as an important tool for internal programmatic analysis and improvement in the measured areas related to public safety and offender management. DCJ strongly urges local community corrections boards and other observers to recognize that the Risk Factor Analysis is not intended to thoroughly assess the value of each program to the community, which be expressed through the richness or breadth of programming that is not measured in the analysis.

Future Risk Factor Analyses

It is important to note that the current report represents the fourth measurement after a new baseline analysis of program performance was set in 2008. Due to this report being based on a revised analysis model, caution and discernment should be exercised when comparing this report to any reports prior to 2008. It is the intention of the Division of Criminal Justice to analyze the program risk factors on an annual basis and to report the scores accordingly. Each performance measure is dynamic and can change as each program's performance changes in these areas. This means that scores can increase or decrease depending on how practices change within each program.

Recommendations

The data from the risk factor analysis should be used for planning purposes in order to improve sub-standard performance and to maintain positive performance. If programs desire to lower their risk factor score and change their overall rating, it is recommended that they focus management control efforts on the performance measures in which risk factor points were accrued. It should also be noted that in areas where no risk factor points were accrued, programs should make every effort to maintain that level of performance.

Implications to the Audit Process

Pursuant to the Colorado Revised Statutes, the Division of Criminal Justice will schedule program audits based on the outcomes of this risk factor analysis. Generally, **Level 1** and **Level 2** programs will be placed on an audit schedule such that no more than 3 years will expire between audits. **Level 3** and **Level 4** programs will be scheduled for audit so that no more than 5 years will expire between audits. It is important to note, however, that circumstances may arise that could result in DCJ conducting an audit more frequently than what is compulsory by the risk factor analysis. The Division of Criminal Justice shall maintain the flexibility to audit more frequently than the risk factor guidelines if circumstances and resources should so warrant.

Questions, concerns, or comments regarding the risk factor analysis should be directed to Alexandra Walker at the Colorado Department of Public Safety, Division of Criminal Justice. Alexandra can be reached at 303.239.4690 or via electronic mail at alexandra.walker@cdps.state.co.us.

Program Risk Factor Analysis (Version 2)

COLORADO COMMUNITY CORRECTIONS C.R.S. 17-27-108

Program | |

Outcome Factors: Data from Client Information Form and DCJ Recidivism Study

1. Escape Factor: Esc. Rate / Avg. Pop Risk Score

- ⓪ Less than .35
- ② Greater than/equal to .35 but less than .50
- ④ Greater than/equal to .50 but less than .65
- ⑥ Greater than/equal to .65 but less than .80
- ⑧ Greater than/equal to .80 but less than .90
- ⑩ Greater or equal to .90

2. Recidivism Factor: Rec Rate / Avg. Pop Risk Score

- ⓪ Less than .45
- ② Greater than or equal to .45 but less than .55
- ④ Greater than or equal to .55 but less than .65
- ⑥ Greater than or equal to .65 but less than .70
- ⑧ Greater than or equal to .70 but less than .75
- ⑩ Greater than or equal to .75

Performance Factors: Ratings from Most Recent Audit or Follow Up Audit

3. Background Check (2-040) – Exhibit A Audit

- ⓪ Satisfactory/Very Satisfactory (>=85%)
- ③ Needs Improvement (70% to 84%)
- ⑤ Unsatisfactory (69% or below)

4. Staff Annual Training (2-110)

- ⓪ Satisfactory/Very Satisfactory (>=85%)
- ③ Needs Improvement (70% to 84%)
- ⑤ Unsatisfactory (69% or below)

5. Case Manager Education (2-140)

- ⓪ Satisfactory/Very Satisfactory (>=85%)
- ② Needs Improvement (70% to 84%)
- ④ Unsatisfactory (69% or below)

6. Monthly Staff Meetings (3-020)

- ⓪ Satisfactory/Very Satisfactory (>=85%)
- ① Needs Improvement (70% to 84%)
- ② Unsatisfactory (69% or below)

7.Self Audits of Program Operations (3-190)

- ⓪ Satisfactory/Very Satisfactory (>=85%)
- ③ Needs Improvement (70% to 84%)
- ⑤ Unsatisfactory (69% or below)

8. Offender Advisement (4-010)

- ⓪ Satisfactory/Very Satisfactory (>=85%)
- ① Needs Improvement (70% to 84%)
- ② Unsatisfactory (69% or below)

9. Medications (4-040)

- ⓪ Satisfactory/Very Satisfactory (>=85%)
- ① Needs Improvement (70% to 84%)
- ② Unsatisfactory (69% or below)

10. UA Compliance (Avg of 4-100, 110, 120, 130)

- ⓪ Satisfactory/Very Satisfactory (>=85%)
- ② Needs Improvement (70% to 84%)
- ④ Unsatisfactory (69% or below)

11. Random Off Site Monitoring (4-160)

- ⓪ Satisfactory/Very Satisfactory (>=85%)
- ③ Needs Improvement (70% to 84%)
- ⑥ Unsatisfactory (69% or below)

12. Job Search Accountability (4-161)

- ⓪ Satisfactory/Very Satisfactory (>=85%)
- ② Needs Improvement (70% to 84%)
- ④ Unsatisfactory (69% or below)

13. Random Headcounts (4-200)

- ⓪ Satisfactory/Very Satisfactory (>=85%)
- ① Needs Improvement (70% to 84%)
- ② Unsatisfactory (69% or below)

14. Recording Authorized Absences (4-210)

- ⓪ Satisfactory/Very Satisfactory (>=85%)
- ① Needs Improvement (70% to 84%)
- ② Unsatisfactory (69% or below)

15. Weekly Meetings (6-070)

- ⓪ Satisfactory/Very Satisfactory (>=85%)
- ① Needs Improvement (70% to 84%)
- ② Unsatisfactory (69% or below)

16. Chronological/Progress Notes (6-080)

- ⓪ Satisfactory/Very Satisfactory (>=85%)
- ① Needs Improvement (70% to 84%)
- ② Unsatisfactory (69% or below)

17. Assessments (6-090)

- ⓪ Satisfactory/Very Satisfactory (>=85%)
- ① Needs Improvement (70% to 84%)
- ② Unsatisfactory (69% or below)

18. Supervision Plan (6-100)

- ⓪ Satisfactory/Very Satisfactory (>=85%)
- ② Needs Improvement (70% to 84%)
- ④ Unsatisfactory (69% or below)

19. Structured Progress Feedback (6-110)[1]

- ⓪ Satisfactory/Very Satisfactory (>=85%)
- ② Needs Improvement (70% to 84%)
- ④ Unsatisfactory (69% or below)

20. Offender Treatment Monitoring (6-160)[2]

- ⓪ Satisfactory/Very Satisfactory (>=85%)
- ② Needs Improvement (70% to 84%)
- ④ Unsatisfactory (69% or below)

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[1] Name of standard changed in 2009 with the revision of the CCCS

[2] Name of standard changed in 2009 with the revision of the CCCS

Staff Stability Factors: Average Length of Employment					
21. Stability Factor A: Security		**22. Stability Factor B:** Case Mgt		**23. Stability Factor C:** Prg. Admin	
⓪	Greater than 30 mos	⓪	Greater than 48 mos	⓪	Greater than 100 mos
①	22 to 30 months	①	38 to 48 months	①	80 to 100 months
②	17 to 22 months	②	28 to 38 months	②	60 to 80 months
③	12 to 17 months	③	18 to 28 months	③	40 to 60 months
④	7 to 12 months	④	8 to 18 months	④	20 to 40 months
⑤	0 to 7 months	⑤	0 to 10 months	⑤	0 to 20 months
Mitigating Factors					
For programs that expand more than 33% of original bed capacity [2 Year Eligibility Only]					
-②	Program Expansion Deduction	-②	Program Expansion Deduction		
For New Programs [2 Year Eligibility Only]					
☒	Waiver of Program Stability Points	☒	Waiver of Program Stability Points	☒	Waiver of Program Stability Points

Reporting Factors			
24. CCIB Compliance – Entry		**25. CCIB Compliance - Termination**	
⓪	Satisfactory/Very Satisfactory (>=85%)	⓪	Satisfactory/Very Satisfactory (>=85%)
①	Needs Improvement (70% to 84%)	①	Needs Improvement (70% to 84%)
②	Unsatisfactory (69% or below)	②	Unsatisfactory (69% or below)

Program Scores	
Total Score	
Waived/Deducted Points	
Maximum Score (100 – Waived Pts)	
Final Score (Total Score/Max Score)	
Performance Level	

Percentage Score Range	Performance Level	Audit Cycle
0% - 15%	**Level 4 Program**	**5-year**
15% - 30%	**Level 3 Program**	
30% - 50%	**Level 2 Program**	**3-year**
50% to 100% or New Program	**Level 1 Program**	