Colorado Sex Offender Management Board (SOMB)

## APPLICATION 2 Initial Three Year and/or Change of Status Application

### as a POLYGRAPH EXAMINER

for the Adult and Juvenile Provider List



Colorado Department of Public Safety Division of Criminal Justice Office of the Sex Offender Management Board 700 Kipling Street, Suite 3000, Denver, CO 80215 https://www.colorado.gov/dcj

Telephone: (303) 239-4526 or 4199 Fax: (303).239.4491



**COLORADO** Department of Public Safety

August 2020 Application 2

### What Application Should I Be Using?

<u>Application 1– First Application for</u> <u>Associate Level Polygraph</u>

This application is used the first time an individual is applying to become an SOMB approved provider and will initiate the first year on the approved provider list. Application 1 is also used when a currently approved provider is applying to add an additional status to their listing (e.g. adding the DD/ID Specialty).

#### Application 2 - Initial Three-Year Associate and/or Change of Status Application

This application is used when a provider has completed the Application for New Providers, completed an initial 12-month listing and is now applying to be listed at the Associate or Full Operating Level for the next three (3) years.

Application 2 is also used when an approved provider is applying to move from Associate Level to Full Operating Level status.

#### Application 3 - Renewal of Current Listing as Associate Level, or Full Operating Level

This application is used when a currently approved provider has completed the Initial Three-Year Application (and the accompanying three (3) year listing) and is renewing their current status for the next three (3) year renewal period.

### Who Should Complete this Application?

This application should be completed by individuals who have been Associate Level Examiners for a minimum of one year, or individuals s, Clinical Supervisor) or moving up (Full-Operating, Clinical Supervisor), and who are providing services to convicted adult sex offenders and/or adjudicated juveniles who have committed a sexual offense. Applicants must demonstrate that they meet ALL of the qualifications pursuant to the requested listing status. Applicants must also comply with standards of practice contained in the *Standards and Guidelines* published by the Colorado Sex Offender Management Board (SOMB). Please note, applicants shall apply as individuals, not as partnerships or programs.

#### **Treatment Providers/Evaluators should not submit this form.**

### **How to Complete this Application**

- **<u>Please read all of the application in its entirety.</u>** It is updated and changed annually.
- The applicant should request assistance from his/her clinical supervisor in completing this application.
- Within the body of this application, you will be asked to attest to your compliance with training and clinical experience according to very specific sections of the *Standards & Guidelines*. The applicant should first read and understand the *Standards* and Guidelines before completing this application. Within the body of this application, you will be asked to document your training; you may wish to compile these materials in advance.
- When complete, you should return a <u>single-sided hard copy</u> of the application with the required attachments to the address on the cover page, "Attention: SOMB." Save a copy of the completed application, including attached documents for your files.
- Additional copies of application materials and current *Standards and Guidelines* are available at <u>https://www.colorado.gov/dcj</u> or by contacting (303) 239-4526.
- Questions may be addressed to the Adult Standards Coordinator at (303) 239-4499 for questions pertaining to the adult portion of this application, and to the Juvenile Standards Coordinator at (303) 239-4197 for questions pertaining to the juvenile portion of this application.
- Standards compliance will be assessed over time through a periodic renewal process (every three years), a monitoring process, a mechanism to receive and investigate complaints within the policies established for such complaints and via Standards Compliance Reviews according to SOMB policy and procedures.

### **General Instructions**

Your adherence to the instructions throughout the application will help ensure that your application is not returned to you by the Sex Offender Management Board staff or otherwise delayed.

- 1. Follow all instructions carefully.
- 2. Use the forms provided in this application.
- 3. Submit ONLY the information requested.
- 4. Submit the required information in the order requested.
- 5. Keep a copy of your completed application and attachments for your files.
- 6. <u>PLEASE DO NOT</u> use staples, paper clips, binders, sheet protectors or other materials because all applications are copied multiple times in their entirety during processing.
- 7. Please submit all materials on **<u>SINGLE-SIDED COPIES</u>**.
- 8. ALL applicants MUST submit a money order or check for \$125.00 made payable to Colorado Department of Public Safety. This is utilized to for the cost of your background check pursuant to C.R.S. and current Standards, which is required every three years. This fee is <u>NON-REFUNDABLE</u>.

\*\*Providers applying for Change of Status do not need to submit payment.\*\*\*

### APPLICANT NAME: \_\_\_\_\_

### <u>DATE:</u>\_\_\_\_\_Provider #:\_\_\_\_\_

(SOMB use only)

You may also remove any pages not applicable to your application status.

#### **ADULT POLYGRAPH EXAMINER**

FULL OPERATING LEVEL

ASSOCIATE LEVEL

DEVELOPMENTAL DISABILITIES SPECIALTY

#### JUVENILE POLYGRAPH EXAMINER

FULL OPERATING LEVEL

ASSOCIATE LEVEL

DEVELOPMENTAL DISABILITIES SPECIALTY

# Background and Identifying Information Adult and Juvenile Applicants

| Applicant Name:  |  |   |  |
|--|--|---|--|
| Credentials (MA, LCS)  | W, etc.):  |   |  |
| Aliases:   |  |   |  |
| Gender:   Male I   | □ Female   | Date of Birth:  |  |
| Home Address: (Street  | , City, State and Zip  | Code):  |  |
| Home Phone:  |  |   |  |
| Email:   |  |   |  |
| through your employer. Emprovider list.  | ployer or Business name, a   | FIDENTIAL and will only be used i address, phone, fax, and email info | rmation is used for the approved                                     |
|  |  |   |  |
| Primary Business Addi  | ress:  |   |  |
|  |  |   |  |
| County of Primary Loc  | cation:  |   |  |
|  |  | Email:  |  |
| Telephone:   | Fax:<br>e addresses <u>and</u> coun                                      | Email:  |  |
| Telephone:<br>You may list up to five<br>the <u>County</u> , and <u>circle</u>   | Fax:<br>e addresses <u>and</u> cour<br>Adult Juvenile or H               | Email:  | ease list the <mark>full address</mark> ,                            |
| Telephone:<br>You may list up to five<br>the <u>County</u> , and <u>circle</u>   | Fax:<br>e addresses <u>and</u> coun<br>Adult Juvenile or I               | Email:<br>nties on the provider list. Pl<br>Both.                     | ease list the <mark>full address</mark> ,                            |
| Telephone:<br>You may list up to five<br>the <u>County</u> , and <u>circle</u><br>1<br>Adult/Juvenile/Both<br>2  | Fax:<br>e addresses <u>and</u> coun<br>e Adult Juvenile or I             | Email:<br>nties on the provider list. Pl<br>Both.                     | ease list the <u>full address</u> ,<br>County:                       |
| Telephone:<br>You may list up to five<br>the <u>County</u> , and <u>circle</u><br>1<br>Adult/Juvenile/Both<br>2<br>Adult/Juvenile/Both                             | Fax:<br>e addresses <u>and</u> coun<br><u>Adult Juvenile or H</u>        | Email:<br>nties on the provider list. Pl<br>Both.                     | ease list the <u>full address</u> ,<br>County:<br>County:            |
| Telephone:<br>You may list up to five<br>the <u>County</u> , and <u>circle</u><br>1<br>Adult/Juvenile/Both<br>2<br>Adult/Juvenile/Both<br>3                        | Fax:<br>e addresses <u>and</u> coun<br><u>Adult Juvenile or H</u>        | Email:<br>nties on the provider list. Pl<br>Both.                     | ease list the <u>full address</u> ,<br>County:<br>County:            |
| Telephone:<br>You may list up to five<br>the <u>County</u> , and <u>circle</u><br>1<br>Adult/Juvenile/Both<br>2<br>Adult/Juvenile/Both<br>3<br>Adult/Juvenile/Both | Fax:<br>e addresses <u>and</u> coun<br><u>Adult Juvenile or H</u>        | Email:<br>nties on the provider list. Pl<br>Both.                     | ease list the <u>full address</u> ,<br>County:<br>County:<br>County: |
| Telephone:<br>You may list up to five<br>the <u>County</u> , and <u>circle</u><br>1<br>Adult/Juvenile/Both<br>2<br>Adult/Juvenile/Both<br>3<br>Adult/Juvenile/Both | Fax:<br>e addresses <u>and</u> cour<br><u>Adult Juvenile or H</u>        | Email:<br>nties on the provider list. Pl<br>Both.                     | ease list the <u>full address</u> ,<br>County:<br>County:<br>County: |
| Telephone:<br>You may list up to five<br>the <u>County</u> , and circle<br>1<br>Adult/Juvenile/Both<br>2<br>Adult/Juvenile/Both<br>3<br>Adult/Juvenile/Both<br>4   | Fax:<br>e addresses <u>and</u> coun<br><u><b>Adult Juvenile or I</b></u> | Email:<br>nties on the provider list. Pl<br>Both.                     | ease list the <u>full address</u> ,<br>County:<br>County:<br>County: |

demonstrate clinical proficiency (this information will be published on the Provider List):

## Authorization for Release of Information

Adult and Juvenile Applicants

I, \_\_\_\_\_\_, authorize and consent to have an investigation made as to my moral character, professional reputation and fitness to be on the Sex Offender Management Board's Provider List as an **Associate Level or Full Operating Level Polygraph Examiner.** I agree to give any further information that may be required in reference to my past record.

I authorize and request every person, hospital, clinic, government agency (local, state, federal or foreign), court association, or institutions having possession of any documents, records or other information pertaining to me, to furnish to the Sex Offender Management Board such information, including, but not limited to, documents and records, informal, pending or closed, or any other pertinent data and to permit the Sex Offender Management Board or any of its designated officers, committees, or staff to inspect and make copies of such documents, records and other information in connection with this application.

The foregoing authorization for release of information or records does not include consent for release of personal financial records, bank accounts, loans or other such personal information not related to my moral character, professional reputation, or fitness as a treatment provider and/or evaluator and/or polygraph examiner.

I hereby release, discharge and exonerate the Sex Offender Management Board, its agents and representatives, and any person furnishing such information from any and all liability of every nature and kind arising out of the furnishing of such information to other medical or professional societies or organizations, hospitals and hospital committees, and government agencies in the event that other such organizations and agencies present to the Sex Offender Management Board a release of authorization for release of information executed by me or a facsimile of such release or authority executed by me.

Signature of Applicant

**Clearly** Printed Applicant Name

Date

#### **Recent Employment History** (Attach Resume) Adult and Juvenile Applicants

Please list your place(s) of employment and positions for the last five years starting with your current or most recent employment. If you practiced psychotherapy in another state, with or without a license, please also include that work experience. You may substitute a professional resume if it provides all the information requested. *You may copy this page* 

|  | copy mis puge    |                      |
|--|------------------|----------------------|
| Employer/Business Name:  |                  | Telephone:           |
|  |                  |                      |
| Street Address:  |                  |                      |
|  |                  |                      |
| City:  | State:           | Zip Code:            |
|  |                  |                      |
| Position:  |                  | Dates of Employment: |
|  |                  | From To              |
| Unless you were self-employed, list supervisor name:                     |                  | Telephone:           |
|  |                  |                      |
| If self-employed, provide the name of a professional reference to verify | this employment: | Telephone:           |
|  |                  |                      |
| Summary of job duties:   |                  |                      |
|  |                  |                      |
|  |                  |                      |
| Reason for leaving:  |                  |                      |
| Keason for reaving.  |                  |                      |
|  |                  |                      |
|  |                  |                      |
|  |                  |                      |
|  |                  |                      |
| Employer/Business Name:  |                  | Telephone:           |
|  |                  |                      |
| Street Address:  |                  |                      |
|  |                  |                      |
| City:  | State:           | Zip Code:            |

| State:      | Zip Code:  |   |
|-------------|------------|---|
|             |            |   |
|             |            |   |
|             | From       | То  |
|             | Telephone: |   |
|             |            |   |
| employment: | Telephone: |   |
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|             |            |   |
|             |            |   |
|             |            |   |
|             |            |   |
|             |            | Dates of Employment<br>From<br>Telephone: |

#### You may substitute a professional resume if it provides all the information requested.

| ACADEMIC<br>DEGREE            | SPECIALTY AREA | DATE OF<br>DEGREE | NAME OF COLLEGE OR<br>UNIVERSITY | LOCATION-CITY & STATE |
|-------------------------------|----------------|-------------------|----------------------------------|-----------------------|
| <i>B.A./B.S.</i>              |                |                   |                                  |                       |
| M.A., M.S., M.S.W.            |                |                   |                                  |                       |
| Ed.D.                         |                |                   |                                  |                       |
| Ph.D.                         |                |                   |                                  |                       |
| Psy.D.                        |                |                   |                                  |                       |
| Psychiatric Clinical<br>Nurse |                |                   |                                  |                       |
| M.D.<br>Board Certified:      | YesNo          |                   |                                  |                       |
| Other (describe)              |                |                   |                                  |                       |

- Have you ever received a written reprimand at any place of employment?
   □ NO □ YES If yes, please explain.
- Have you ever been suspended, fired, or asked to resign from a position or employment?
   □ NO □ YES If yes, please explain.
- Have you ever been arrested, charged or convicted of any criminal offense?
   □ NO □ YES If yes, please explain.
- Have you ever been convicted of, or received a deferred judgment for, any offense involving criminal sexual or violent behavior?

 $\square$  NO  $\square$  YES If yes, please explain.

Have you ever been convicted of a felony?
 □ NO □ YES If yes, please explain.

### Statement of Understanding

- nitial
- 1. I understand that the information I have submitted on this application for the Sex Offender Management Board Provider List will be used for the following purposes:
  - A. To conduct criminal history checks and background investigations as necessary.
  - B. To create and disseminate a provider list of treatment providers, evaluators, and/or polygraph examiners.
- 2. My application materials will become a public record of the Division of Criminal Justice and may be subject to open record act requests pursuant to Section 24-72-304, C.R.S.
- 3. Inclusion on the provider list does not constitute certification or licensure and should not be represented as such. It does not create an entitlement or guarantee that I will receive referrals. If I am approved to be on the Provider List, it means that I am eligible to be considered as a provider of evaluation, assessment, treatment, and/or behavioral monitoring services for convicted sex offenders and/or adjudicated juveniles who have committed a sexual offense, pursuant to Section 16-11.7-106, C.R.S. which states:

"(1) The department of corrections, the judicial department, the division of criminal justice of the department of public safety, or the department of human services shall not employ or contract with and shall not allow a sex offender to employ or contract with any individual or entity to provide sex offender evaluation or treatment services pursuant to this article unless the sex offender evaluation or treatment services to be provided by such individual or entity conforms with the standards developed pursuant to Section 16-11.7-103(4) (b)."

(2) The board shall require any person who applies for placement on the list of persons who may provide sex offender treatment services pursuant to this article to submit a complete set of his or her fingerprints. The board shall forward any such fingerprints received pursuant to this subsection (2) to the Colorado Bureau of Investigation for use in conducting a state criminal history record check and for transmittal to the federal bureau of investigation for a national criminal history record check. The board shall use the information obtained from the state and national criminal history record check in determining whether to place the person on the approved provider list.

- 4. The Sex Offender Management Board will release information to all referring agencies regarding the status of my application, my placement on the Provider List, founded complaints, removal from the Provider List or denial of my application to the Provider List.
- 5. In the event a complaint is filed against me, the contents of my application will be reviewed by the Sex Offender Management Board in accordance with the Sex Offender Management Board Administrative Policies.
- 6. I have read the *Standards and Guidelines for the Assessment, Evaluation, Treatment and Behavioral Monitoring of Adult Sex Offenders* and/or *the Standards and Guidelines for the Evaluation, Assessment, Treatment, and Supervision of Juveniles Who Have Committed Sexual Offenses* in its entirety, including any revisions, and I understand and agree to carry out the *Standards* to the best of my ability related to the listing and level for which I am applying. I have answered all questions on this application honestly and the answers are complete to the best of my knowledge. I further understand that false statements or misstatements on this application are grounds for removal from the SOMB Provider Lists.

Continues of next page

### Statement of Understanding

| 7. | You <u>must</u> notify the SOMB, in writing, within two weeks, of any changes to your name, address, |
|----|--|
|    | telephone number, program name, program materials, clinical supervisor (submit a revised             |
|    | supervision agreement if your supervisor changes) or if you have added an additional treatment       |
|    | location. This should be done as soon as possible to avoid administrative problems and ensure        |
|    | accurate placement on the approved provider list. If the staff of the SOMB cannot locate you or      |
|    | reach you, your name will be removed from the approved provider list.                                |

8. I am in good standing as a mental health provider with the Department of Regulatory Agency. I **<u>must</u>** provide the SOMB, in writing, within ten days, any changes to your professional status, such as grievances, license revocations, criminal charges/arrest or any other change in your professional standing. (Please reference the Administrative Policies in the SOMB *Standards*).

| Printed Name of Applicant:           |       |
|--------------------------------------|-------|
| Signature of Applicant:              | Date: |
| Printed Name of Clinical Supervisor: |       |
| Signature of Clinical Supervisor:    | Date: |

### **References** *Adult and Juvenile Applicants*

- The Sex Offender Management Board background investigator will contact a minimum of four of the six references as part of the background check.
- All references must be familiar with your sex offense specific work and at least two (2) of the references listed must be members of a Community Supervision Team (CST) and/or Multidisciplinary Team (MDT) in which you participate.
- If you are applying as an **Adult AND Juvenile Provider**, please provide references that can speak about your ability to work with **BOTH** populations.

#### **PROFESSIONAL REFERENCES**

| Name:             | Position: |  |
|-------------------|-----------|--|
| Address:          |           |  |
|                   | Email:    |  |
| Name:             | Position: |  |
| Address:          |           |  |
|                   | Email:    |  |
| Name:             | Position: |  |
| Address:          |           |  |
| Telephone number: | Email:    |  |

| Name:             |        |  |
|-------------------|--------|--|
| Position:         |        |  |
| Address:          |        |  |
| Telephone number: | Email: |  |

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## **POLYGRAPH EXAMINER, TREATMENT PROVIDER, EVALUATOR, OR OTHER** - Please indicate the individual's profession below.

| Name:             |        |
|-------------------|--------|
| Position:         |        |
| Address:          |        |
| Telephone number: | Email: |

**POLYGRAPH EXAMINER, TREATMENT PROVIDER, EVALUATOR, OR OTHER** - Please indicate the individual's profession below.

| Name:             |        |  |
|-------------------|--------|--|
| Position:         |        |  |
| Address:          |        |  |
| Telephone number: | Email: |  |

### Specialized Training

Adult and Juvenile Re-Applicants

This form is required for all Re-Applicants.

It is *strongly* recommended that you reference the Standards while completing this section.

- Training attendance will be considered for the past five (5) years. Please reference section 4.900 regarding specific training requirements.
- Although you may have received excellent supervision, you <u>may not</u> use clinical supervision as "training."
- Generally, the length of the workshop or training equals hours of training. FOR CONFERENCES, YOU <u>MUST ITEMIZE EACH WORKSHOP</u> ON A SEPARATE LINE.
- You may count e-learning and CD/DVD trainings for **half** (1/2) **credit**. Actual courses or webinar trainings can count for full credit.
- If you were the trainer, you may count the training you conducted as long as it does not exceed more than half of your total hours.
- Only 25% of the total required training hours can be comprised of in-house training within your agency/program.
- Please note the SOMB Standards states the provider shall complete forty (40) hours of training, which includes the SOMB Introductory training to the Standards or the SOMB Standards Booster training. This is required for movement to full operating level and at each renewal period. Please review Section 4.900 for specific training requirements.
- You may count committee participation at 1 hour per meeting with a maximum of 6 hours which can be applied to the required number of training hours.
- The SOMB staff may request copies of training certificates at any time and will conduct standard compliance reviews.

By signing this form, you are attesting to the fact that you have met the current training requirements in the Standards.

| Category<br>of Training  | # of Total<br>Hours | Adult | Juvenile | Signature |
|--------------------------|---------------------|-------|----------|-----------|
| Sex Offense Specific     |                     |       |          |           |
| Developmental Disability |                     |       |          |           |
| Other Polygraph Training |                     |       |          |           |

Polygraph Examiner Signature

Date

Supervisor Signature

Date

August 2020

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### Clinical Experience

Adult and Juvenile Re-Applicants

This form is to be used for documentation of the number of exams you have accumulated within the last **twelve (12) months** (since your last application).

Please designate in the column below if your exams count toward Child Contact Assessment ("CCA") or developmental disabilities ("DD"), if applicable. Be as specific as possible.

| DATES | Number of | "DD" or "CCA"   | LOCATION or AGENCY |
|-------|-----------|-----------------|--------------------|
|       | polygraph | (if applicable) |                    |
|       | exams     |                 |                    |
| From: |           |                 |                    |
|       |           |                 |                    |
| To:   |           |                 |                    |
| From: |           |                 |                    |
|       |           |                 |                    |
| To:   |           |                 |                    |
| From: |           |                 |                    |
|       |           |                 |                    |
| To:   |           |                 |                    |
| From: |           |                 |                    |
|       |           |                 |                    |
| To:   |           |                 |                    |

### You may copy this page.

#### JUVENILE

| DATES | Number of | "DD"            | LOCATION or AGENCY |
|-------|-----------|-----------------|--------------------|
|       | polygraph | (if applicable) |                    |
|       | exams     |                 |                    |
| From: |           |                 |                    |
|       |           |                 |                    |
| To:   |           |                 |                    |
| From: |           |                 |                    |
|       |           |                 |                    |
| To:   |           |                 |                    |
| From: |           |                 |                    |
|       |           |                 |                    |
| To:   |           |                 |                    |
| From: |           |                 |                    |
|       |           |                 |                    |
| To:   |           |                 |                    |

### **Qualifications of Polygraph Examiners**

Adult and Juvenile Re-Applicants

<u>Associate Level Polygraph Examiners</u> provide polygraphs under the supervision of a Full Operating Level Polygraph Examiner.

<u>Full Operating Level Polygraph Examiners</u> may operate without ongoing supervision specific to polygraph examinations, and may supervise Associate Level Polygraph Examiners and Intent to Apply applicants.

The following checklist will help you determine which level applies to you.

| Associate Level   | Full Operating Level  |
|---|---|
| Polygraph Examiner  | Polygraph Examiner  |
| Bachelors Degree and graduation from accredited APA school? [Section 4.900 (A)]   | Bachelors Degree and graduation from accredited APA school? [Section 4.960 (A)]   |
| Minimum of two (2)hours of one-to-one direct supervision monthly. [Section 4.920]   |   |
| Written supervision agreement with a Full<br>Operating Level Polygraph Examiner?<br>[Section 4.920]   |   |
| 40 hours of specialized training in 3 years?<br>Must Include Standards Introduction or<br>Booster Training [Section 4.930 (C)]  | 40 hours of specialized training in 3 years?[<br>Ten (10) hours must be Sex Offender<br>Specific) [Section 4.960 (D)] Must include<br>Standards Introduction or Booster<br>Training.      |
| Conducted 50 (Adult and Juvenile) post-<br>conviction sex offense specific issue exams in<br>last 3 years? [Section 4.930(B)]   | Conducted a minimum of 200, (Adult and Juvenile) post-conviction sex offense specific issue exams? [Section 4.960 (C)]  |
| Quality assurance protocols from 3 different<br>polygraph examinations to three Full Operating<br>Level Polygraph Examiners outside of your<br>agency? [Section 4.930 (E)]                | Quality assurance protocols from 3 different<br>polygraph examinations to three Full<br>Operating Level Polygraph Examiners<br>outside of your agency? [Section 4.970 (D)]                |
| No conviction or deferred judgment for felony<br>criminal sexual or violent behavior related to<br>the ability of the applicant to practice under<br>these Standards? [Section 4.930 (G)] | No conviction or deferred judgment for felony<br>criminal sexual or violent behavior related to<br>the ability of the applicant to practice under<br>these Standards? [Section 4.970 (F)] |
| If ALL of your answers YES then apply at this level.<br>If not, go to the column on the right.  | If ALL of your answers YES then apply at this level.  |
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## Qualifications of Full **Operating** Level Polygraph Examiners

Adult and Juvenile Applicants

It is <u>strongly</u> recommended that you reference the following Standards while completing this section. Adult and Juvenile Standards, Section 4.000

A Polygraph Examiner at the Full Operating Level may conduct polygraph exams on sex offenders **without** supervision and may supervise Associate Level Polygraph Examiners. The following checklist will help you determine if you meet the qualifications for Full Operating Level Polygraph Examiner:

#### ADULT

You have conducted at least two hundred (200) exams in the past five (5) years, as outlined in the *Standards: Section 4.800 B* 

\_You have completed specialized training as outlined in the Standards: Section 4.960 C

#### JUVENILE

——You have conducted at least two hundred (200) exams, with at least 25 juvenile, postconviction sex offender polygraph tests as outlines in the *Standards: Section 4.960 C* 

\_You have completed specialized training as outlined in the Standards: Section: 4.960 C

## If you meet the above criteria, you <u>MUST</u> submit the following: (Please check that you have enclosed/included in this application)

- Documentation of specialized training (see form in this packet),
- Description of your experience conducting polygraph exams (see form in this packet),
- □ A <u>detailed</u> letter from your supervisor indicating his/her recommendation that you move to Full Operating Level Polygraph Examiner status,
- □ Attachments:
  - Three (3) different types of recent polygraph examinations (sex history/disclosure, specific issue, maintenance/monitoring, CCA)
  - Including: charts, hand scoring, and reports with client identifying information redacted.
  - If moving up for both Adult and Juvenile include six (6) exam packets. If applying for DD, ensure one of them is DD.

I have read the *Standards and Guidelines for the Assessment, Evaluation, Treatment and Behavioral Monitoring of Adult Sex Offenders* and/or *The Standards and Guidelines for the Evaluation, Assessment, and Supervision of Juveniles Who Have Committed A Sexual Offense* in its entirety, and agree to carry out the Standards related to the listing and level for which I am applying. I have answered all questions on this application fully and the answers are complete and true to the best of my knowledge. I further understand that false statements or material misstatements in this application are grounds for removal from the SOMB Provider List.

| Signature of Applicant:    | Date: |
|----------------------------|-------|
| Printed Name of Applicant: |       |
| Signature of Supervisor:   | Date: |

### Standards of Practice for Polygraph Examiners Adult and Juvenile Re-Applicants

#### **Attachments:**

ADULT:

□ Please send three (3) different *types* of polygraph examinations (sex history, specific issue, maintenance/monitoring, CCA, or DD) including charts, hand scoring, and the written report conducted on convicted adult sex offenders to three different Full Operating Level SOMB approved/listed polygraph examiners outside your agency. Please <u>redact</u> client identifying information and instruct the examiners to forward all materials, including the quality assurance protocol form to the SOMB for review.

#### JUVENILE:

□ Please send three (3) different *types* of polygraph examinations (sex history, specific issue, maintenance/monitoring, CCA, or DD) including charts, hand scoring, and the written report conducted on adjudicated juveniles who have committed sex offenses to three different Full Operating Level SOMB approved/listed polygraph examiners outside your agency. Please <u>redact</u> client identifying information and instruct the examiners to forward all materials, including the quality assurance protocol form to the SOMB for review.

#### BOTH ADULT & JUVENILE:

- □ If you are applying for **both** adult and juvenile polygraph examiner, please send six (6) examinations, three (3) different *types* (per population) of polygraph exams (sex history, specific issue, maintenance/monitoring, CCA, or DD) including charts, hand scoring, and the written report to three different Full Operating Level SOMB approved/listed polygraph examiners outside your agency. Please <u>redact</u> client identifying information and instruct the examiners to forward all materials, including the quality assurance protocol form to the SOMB for review. *Three* (3) *different types of exams must be conducted on convicted adult sex offenders and three* (3) *different types of exams must be conducted on juveniles who have sexually offended*.
  - Please submit documentation of your graduation from an accredited American Polygraph Association Program.
  - (Please initial) I understand that I shall engage in the peer review of my examinations by other polygraph examiners registered at the Full Operating Level.

| Application Type                              | Number of exams to<br>Submit per population | To obtain DD /ID<br>qualification | Total Needed for<br>Adult and Juvenile<br>Approval with DD/ID |
|---|---|-----------------------------------|---|
| Associate Level –                             | 3 Adult                                     | One of total number               | 6   |
|   | 3 Juvenile                                  | must be DD/ID                     |   |
| Continued Associate                           | 1 Adult                                     | Additional exam                   | 3   |
| Level   | 1 Juvenile                                  | needed (1)                        |   |
| Move to Full Operating                        | 3 Adult                                     | One of total number               | 6   |
|   | 3 Juvenile                                  | must be DD/ID                     |   |
| Continued Placement -<br>Full Operating Level | 1 each population                           | Additional Needed                 | 3   |

## Standards of Practice for Polygraph Examiners

Adult and Juvenile Re-Applicants

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Please submit the names and contact information of the Full Operating Level Polygraph Examiner(s) with whom you engage in peer review activities. (Please note they should not be within your agency.) Name: \_\_\_\_\_\_ Agency: \_\_\_\_\_ Phone/Email: 1. \_\_\_\_\_ 2. \_\_\_\_\_ 3. \_\_\_\_\_

### Qualifications of Polygraph Examiners Developmental Disabilities (DD) Specialty

#### Adult Re-applicants

Please use this checklist to determine if you meet the conditions to apply for the DD Specialty.

| Associate Level Polygraph Examiner  | Full Operating Level Polygraph Examiner  |
|---|--|
| Must have a supervisor with a specialty in examining DD sex offenders with. [Section 4.920 DD]                              |  |
| Must submit documentation related to<br>experience and knowledge of working<br>with DD sex offenders. [Section 4.900<br>DD] | Must submit documentation related to experience<br>and knowledge of working with DD sex offenders.<br>[Section 4.900 DD] |
| Must submit a QA Exam on a DD sex<br>offender   | Must submit an ADDITIONAL QA Exam on a DD Sex Offender (Adult or Juvenile)   |

#### **Standards of Practice for Polygraph Examiners Developmental Disabilities (DD) Adult** *Adult Re-applicants*

#### **Attachments:**

- □ Please describe how your polygraph examinations of sex offenders with developmental disabilities differ from your polygraph examinations of sex offenders without developmental disabilities. Please limit your response to one page.
- Please send a copy of one (1) representative polygraph examination including charts, hand scoring, and the written report for a sex offender with developmental disabilities to a Full Operating Level SOMB approved Polygraph Examiner with the DD Specialty for quality assurance. Please redact all identifying client information and indicate that the exam is an example of a developmental disability polygraph exam. Please instruct the examiner to forward all materials, including the quality assurance protocol form, to the SOMB for review. *Please note this exam can count toward the three total exams indicated above*.

### Qualifications of and Standards of Practice for Polygraph Examiners

**Developmental Disabilities (DD) Specialty** 

Juvenile Re-applicants

It is <u>strongly</u> recommended that you reference the following Standards while completing this section. Juvenile Standards 4.300 DD and 4.720 DD

**Note:** JWCSO-DD means "juveniles who commit sexual offenses and who have developmental disabilities."

- Please describe how your polygraph examinations of JWCSO-DD differ from your polygraph examinations of JWCSO who do not have DD. Please limit your response to one page.
- □ Please attach one (1) representative JWCSO-DD polygraph. Please redact identifying client information.
- Please attach a letter describing the experience and training (and supervision if you are at the Associate Level) you have accrued that is specific to JWCSO-DD. Please limit your response to one page.

## Professional Supervision Agreement For Associate Level Polygraph Examiners Adult and Juvenile Re-applicants

| You | may | сору | this | page. |
|-----|-----|------|------|-------|
|-----|-----|------|------|-------|

| Applicants Name: | <br> |  |
|------------------|------|--|
| Date:            | <br> |  |

| Supervisor's Name: |            |  |
|--------------------|------------|--|
| Agency:            |            |  |
| Address:           |            |  |
| City, State, Zip:  |            |  |
| Telephone:         |            |  |
| Fax:               | _ Email: _ |  |

#### Please note that a relative of the applicant shall not provide supervision.

| I, do hereby verify that I have providedhours  |
|--|
| of supervision per month to the above-named individual. These supervision hours were provided        |
| at:  |
| (Agency Name)  |
| Between and (Start Date) (End Date or Today's Date)  |
| (Start Date) (End Date or Today's Date)  |
| I hereby verify that I have signed off on $\{(Number of)}$ polygraphs conducted by the applicant.    |
| I understand that is practicing under my SOMB listing status, and that I                             |
| am responsible for their supervision. I am adhering to the SOMB Standards and Guidelines along       |
| with the Administrative Policies I hereby verify that I will sign off on all polygraphs conducted by |
| the applicant in accordance with the SOMB Standards and Guidelines.                                  |
|  |
| Supervisor's signature: Date:  |

Applicant's signature: \_\_\_\_\_ Date: \_\_\_\_\_

### Checklist for Attachments Standards of Practice for Polygraph Examiners Adult and Juvenile Re-Applicants

#### Adult Quality Assurance Exams

| Exam Type                        | <b>Reviewing Examiner:</b> | DD/ID |
|----------------------------------|----------------------------|-------|
| Adult Maintenance/<br>Monitoring |                            | Y / N |
| Adult Sex History                |                            | Y / N |
| Adult Specific Issue             |                            | Y / N |

#### Juvenile Quality Assurance Exams

| Exam Type                           | <b>Reviewing Examiner:</b> | DD/ID |
|-------------------------------------|----------------------------|-------|
| Juvenile Maintenance/<br>Monitoring |                            | Y / N |
| Juvenile Sex History                |                            | Y / N |
| Juvenile Specific Issue             |                            | Y / N |

#### <u>Please remember to keep a copy of this for your records</u>

### Request for Waiver of Qualifications of Treatment Providers and/or Evaluators Adult and Juvenile Applicants

I \_\_\_\_\_\_, am requesting a waiver of certain criteria for the Qualifications of Print Applicant's Name

Treatment Providers and/or Evaluators. I understand that due to my lack of being able to provide

all required documentation or information required by the SOMB Standards, there may be certain

conditions I must agree to in order to obtain approval of the requested listing.

Please indicate which requirement you with to have waived below and provide an explanation as to why you have not been able to meet this requirement.

□ Professional Reference

• Type: \_\_\_\_\_

Please explain:

Training Requirements
 O Introduction or Booster

If yes, please explain:

• Hours Requirement:

Amount Completed: \_\_\_\_\_\_

If yes, please explain:

Other: \_\_\_\_\_ Please explain:

August 2020

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